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**FAX COVER LETTER**

March 29, 2002

**TO:** Customer Service Center  
Initial Patent Examination Division

**COMPANY:** U.S. Patent and Trademark Office

**PHONE:** 703-308-1202

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**FROM:** Rambod Nader  
Registration No. 47,262

**DIRECT DIAL:** 650-320-4358

**EMAIL:** RNader@OPPENHEIMER.COM

**RE:** U.S. Serial No. 09/976,443  
Filed: October 12, 2001  
Entitled: Automated Coaching for a Financial Modeling and Counseling System

**PLEASE SEE ATTACHED**

This facsimile contains confidential information intended only for the use of the addressee(s) named above and may contain information that is legally privileged. If you are not the addressee, or the person responsible for delivering it to the addressee, you are hereby notified that reading, disseminating, distributing or copying this facsimile is strictly prohibited. If you have received this facsimile by mistake, please immediately notify us by telephone and return the original message to us at the address above via the Postal Service (we will reimburse postage). Thank you.

Originals: being sent

Completed by: NASO/

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If the transmission is incomplete, please call 650.320.4000 as soon as possible.

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## PATENT

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the application of )

SLOAN et al. )

Application No. 09/976,443 )

Filed: October 12, 2001 )

For: AUTOMATED COACHING FOR A FINANCIAL  
MODELING AND COUNSELING SYSTEM )

Examiner: Not assigned

Group Art Unit: 2165

Date: March 29, 2002

CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail to: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231 on March 29, 2002.

Signed: 

Vicki Naso

RESPONSE TO NOTICE OF INCOMPLETE MISSING PARTS

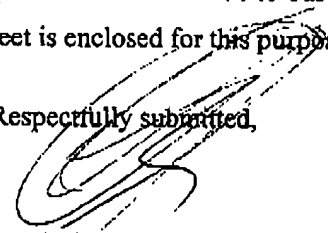
Commissioner for Patents  
**BOX: MISSING PARTS**  
Washington, DC 20231

Sir:

Enclosed herewith is a copy of the executed Declaration and Power of Attorney for Patent Application No. 09/976,443 and a copy of the stamped post card mailed along with the response to the Notice To File Missing Parts, originally mailed on November 29, 2001.

Since we believe to have filed a complete reply to the Notice to File Missing Parts within the allotted due date, we believe that any extension of time fees are unnecessary. However, the Commissioner is authorized to charge any required fees that are due to our Deposit Account No. 02-3964 (Order No. 60021.376002). A copy of this sheet is enclosed for this purpose.

Respectfully submitted,

  
Rambod Nader  
Reg. No. 47,262**OPPENHEIMER WOLFF & DONNELLY LLP**

Customer No. 25696

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Attny Docket No. 60021.376002

Received from &lt; &gt; at 3/29/02 5:35:40 PM [Eastern Standard Time]

SV: 252176 v01 03/29/2002

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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
WWW.USPTO.GOV

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/976,443	10/12/2001	Ronald E. Sloan	60021.376002

29838  
OPPENHEIMER WOLFF & DONNELLY, LLP (ACCENTURE)  
1400 PAGE MILL ROAD  
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CONFIRMATION NO. 2727  
FORMALITIES LETTER  
\*OC00000007689913\*

Date Mailed: 03/19/2002

## NOTICE OF INCOMPLETE REPLY (NONPROVISIONAL)

## Filing Date Granted

The U.S. Patent and Trademark Office has received your reply on 02/13/2002 to the Notice to File Missing Parts (Notice) mailed 11/29/2001 and it has been entered into the nonprovisional application. The reply, however, does not include the following items required in the Notice.

The period of reply remains as set forth in the Notice. You may, however, obtain EXTENSIONS OF TIME under the provisions of 37 CFR 1.136 (a) accompanied by the appropriate fee (37 CFR 1.17(a)).

A complete reply must be timely filed to prevent ABANDONMENT of the above-identified application.

The required items noted below SHOULD be filed along with any items required above. The filing date of this nonprovisional application will be the date of receipt of the items required above.

- The signature of the following inventor(s) is missing from the oath or declaration:  
Stephen B. Slutsky

A copy of this notice **MUST** be returned with the reply.

*John E. Gernew*  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

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THE STAMP OF THE U.S. PATENT AND TRADEMARK OFFICE No. 60021.376002

HEREON ACKNOWLEDGES RECEIPT OF THE FOLLOWING: Missing Parts

Applicant(s)/Patentee(s): Sloan et al.

Serial No./Patent No.: 09/976,443

Filed/Issued: October 12, 2001

For: AUTOMATED COACHING FOR A FINANCIAL MODELING AND COUNSELING  
SYSTEM

Enclosed:

- X Response to Notice of Missing Parts
- X Declaration and Power of Attorney
- X Assignment and Assignment Recordation Sheet
- X Copy of Notice to File Missing Parts



Date: December 15, 2001

Initials: RN/ym

SV: 239403 v01 12/15/200

